



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER FOR PATENTS
 P.O. Box 1450
 Alexandria, Virginia 22313-1450
 www.uspto.gov

APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/823,096	04/12/2004	Randall Shoup	ORCL.P0036CON2

CONFIRMATION NO. 3157

FORMALITIES LETTER



OC000000013074533

23349
 STATTLER JOHANSEN & ADEL
 P O BOX 51860
 PALO ALTO, CA 94303

Date Mailed: 06/25/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

09/14/2004 HALI11 00000061 10823096

FILED UNDER 37 CFR 1.53(b)

01 FC:1051 130.00 OP
 02 FC:1001 770.00 OP

Filing Date Granted**Items Required To Avoid Abandonment:**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

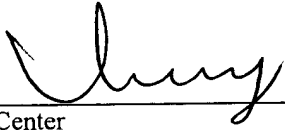
- The statutory basic filing fee is missing.
Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:Total additional fee(s) required for this application is **\$900** for a Large Entity

- **\$770** Statutory basic filing fee.
- **\$130** Late oath or declaration Surcharge.

Replies should be mailed to: Mail Stop Missing Parts
 Commissioner for Patents
 P.O. Box 1450
 Alexandria VA 22313-1450

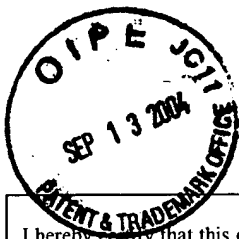
A copy of this notice **MUST** be returned with the reply.

A handwritten signature in black ink, appearing to be 'Chung', is written above a horizontal line.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



PATENT
ORCL.P0036C2

CERTIFICATE OF MAILING BY "FIRST CLASS MAIL"

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:
Mail Stop Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on September 10, 2004.

Vincent Tassinari
Vincent Tassinari

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application for:

Randall SHOUP et al.

Examiner: not yet assigned

Serial No.: 10/823,096

Group Art Unit: 2171

Filing Date: 04/12/2004

For: METHOD, ARTICLE OF MANUFACTURE,
AND APPARATUS FOR GENERATING A
MULTI-DIMENSIONAL RECORD
MANAGEMENT INDEX

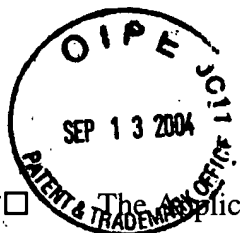
**TRANSMITTAL LETTER
FOR
RESPONSE TO NOTICE TO FILE MISSING PARTS**

Mail Stop Missing Parts
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

In complete response to the June 25, 2004 Notice to File Missing Parts, please find:

1. Return receipt post card (1 card)
2. Response Transmittal Letter (2 pages)
3. Petition for Extension of Time (1 page)
4. Credit card payment form PTO-2038 (1 sheet)
5. Notice to File Missing Parts (2 page)



☐ The Applicant hereby claims small entity status.

The filing fee has been calculated as follows:

FOR	Number of claims - Number paid by base fee	Number of extra claims	Extra claim rate	CALCULATIONS
TOTAL CLAIMS	* - 20 =	*	x \$18	\$*
INDEPENDENT CLAIMS	* - 3 =	*	x \$84	\$*
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$270	\$*
1 MONTH EXTENSION FOR REPLY:				\$110
SURCHARGE FOR FILING MISSING PARTS				\$130
			BASIC FEE	\$770
			TOTAL OF ABOVE CALCULATIONS =	\$1010
Reduction by 1/2 for filing by small entity (Note 37 C.F.R. §§ 1.9, 1.27, 1.28). The Applicant hereby states that it qualifies as a small entity under 37 CFR 1.27.				\$0
ASSIGNMENT RECORDATION FEE				\$0
			TOTAL =	\$1010

- ☒ A credit card payment form is attached.
- ☐ A check in the amount of \$\$\$ is attached.

The Assistant Commissioner is hereby authorized to charge any additional fees under 37 C.F.R. §§ 1.16 and 1.17 that may be required by this transmittal and associated documents, or to credit any overpayment to **Deposit Account No. 50-1128** referencing Docket No. ORCL.P0036CON2.

Dated: September 10, 2004

Respectfully submitted,

STATTLER JOHANSEN & ADELI LLP

Stattler, Johansen & Adeli LLP
PO Box 51860
Palo Alto, California 94303-0728
Telephone: (650) 752-0990
Facsimile: (650) 752-0995

By: 

Vincent Tassinari
Registration No. 42,179
Direct Phone No.: (310) 785-0140, x305